

**"Some speak of
an Armageddon;
A time when humans
will build machines
they neither understand
nor control.**

**To myself I whisper,
'We already have.'"**

- Taylor Swift

Crypto: Block Ciphers

Independence Under Chosen Plaintext Attack game: IND-CPA

- Eve is interacting with an encryption "Oracle"
 - Oracle has an unknown random key k
- She can provide two separate chosen plaintexts of the same length
 - Oracle will randomly select one to encrypt with the unknown key
 - The game can repeat, with the oracle using the same key...
- Goal of Eve is to have a better than random chance of guessing which plaintext the oracle selected
 - Variations involve the Oracle always selecting either the first or the second

Designing Ciphers

- Clearly, the whole trick is in the design of **$E(M,K)$** and **$D(C,K)$**
- One very simple approach:
 $E(M,K) = \text{ROTK}(M)$; $D(C,K) = \text{ROT-K}(C)$
i.e., take each letter in **M** and “rotate” it **K** positions (with wrap-around) through the alphabet
- E.g., **$M_i = \text{“DOG”}$** , **$K = 3$**
 $C_i = E(M_i,K) = \text{ROT3(“DOG”)} = \text{“GRJ”}$
 $D(C_i,K) = \text{ROT-3(“GRJ”)} = \text{“DOG”}$
- “Caesar cipher”
- "This message has been encrypted twice by ROT-13 for your protection"



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- Deduction:
 - Analyze letter frequencies (“ETAOIN SHRDLU”)
 - Known plaintext / guess possible words & confirm
 - E.g. “JCKN ECGUCT” =? “HAIL CAESAR” $\Rightarrow K=2$
 - Chosen plaintext
 - E.g. Have your spy ensure that the general will send “ALL QUIET”, observe “YJJ OSGCR” $\Rightarrow K=24$
- Is this IND-CPA?

Kerckhoffs' Principle

- Cryptosystems should remain secure even when attacker knows all internal details
 - Don't rely on security-by-obscurity
- Key should be only thing that must stay secret
- It should be easy to change keys
 - Actually distributing these keys is hard, but we will talk about that particular problem later.
 - But key distribution is one of the real...



Better Versions of Rot-K ?

- Consider $\mathbf{E(M,K)} = \mathbf{Rot-\{K_1, K_2, \dots, K_n\}(M)}$
 - i.e., rotate first character by $\mathbf{K_1}$, second character by $\mathbf{K_2}$, up through nth character. Then start over with $\mathbf{K_1}$, ...
 - $\mathbf{K} = \{ \mathbf{K_1, K_2, \dots, K_n} \}$
- How well do previous attacks work now?
 - Brute force: key space is factor of $26^{(n-1)}$ larger
 - E.g., $n = 7 \Rightarrow 300$ million times as much work
 - Letter frequencies: need more ciphertext to reason about
 - Known/chosen plaintext: works just fine
- Can change it so that it is a substitution
 - EG, A->C, B->Z, C->F...
 - Can layer substitutions...
- Can go further with “chaining”, e.g., 2nd permutation depends on $\mathbf{K_2}$ and first character of ciphertext
 - We just described 2,000 years of cryptography

And Cryptanalysis: ULTRA

- During WWII, the Germans used **enigma**:
 - System was a "rotor machine": A series of rotors, with each rotor permuting the alphabet and every keypress incrementing the settings
 - Key was the selection of rotors, initial settings, and a permutation plugboard
 - A great graphical demonstration:
<https://observablehq.com/@tmcw/enigma-machine>
- The British built a system (the "Bombe") to brute-force Enigma
 - Required a known-plaintext (a "crib") to verify decryption: e.g. the weather report
 - Sometimes the brits would deliberately "seed" a naval minefield for a chosen-plaintext attack



One-Time Pad

- Idea #1: use a different key for each message **M**
 - Different = completely independent
 - So: known plaintext, chosen plaintext, etc., don't help attacker
- Idea #2: make the key as long as **M**
- **$E(M,K) = M \oplus K$** ($\oplus = \text{XOR}$)

$$X \oplus 0 = X$$

$$X \oplus X = 0$$

$$X \oplus Y = Y \oplus X$$

$$X \oplus (Y \oplus Z) = (X \oplus Y) \oplus Z$$

\oplus	0	1
0	0	1
1	1	0

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$$D(C,K) = C \oplus K$$

$$= M \oplus K \oplus K = M \oplus 0 = M$$

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$$X \oplus (Y \oplus Z) = (X \oplus Y) \oplus Z$$

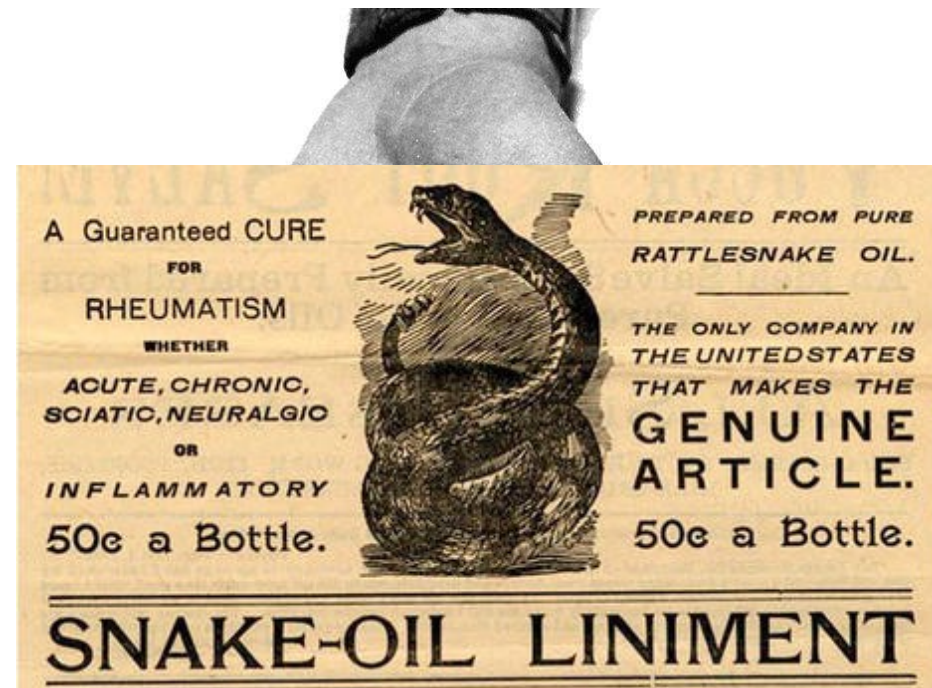
\oplus	0	1
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One-Time Pad: Provably Secure!

- Let's assume Eve has partial information about \mathbf{M}
- We want to show: from \mathbf{C} , she does not gain any further information
- Formalization: supposed Alice sends either \mathbf{M}' or \mathbf{M}''
 - Eve doesn't know which; tries to guess based on \mathbf{C}
- Proof:
 - For random, independent \mathbf{K} , all possible bit-patterns for \mathbf{C} are equally likely
 - This holds regardless of whether Alice chose \mathbf{M}' or \mathbf{M}'' , or even if Eve provides \mathbf{M}' and \mathbf{M}'' to Alice and Alice selects which one (IND-CPA)
 - Thus, observing a given \mathbf{C} does not help Eve narrow down the possibilities in any way:

One-Time Pad: Provably Impractical!

- Problem #1: key generation
 - Need truly random, independent keys
- Problem #2: key distribution
 - Need to share keys as long as all possible communication
 - If we have a secure way to establish such keys, just use that for communication in the first place!
 - Only advantage is you can communicate the key in advance: you may have the secure channel now but won't have it later



Two-Time Pad?

- What if we reuse a key **K** jeeeeest once?
- Alice sends **C** = **E(M, K)** and **C'** = **E(M', K)**
- Eve observes **M** \oplus **K** and **M'** \oplus **K**
 - Can she learn anything about M and/or M' ?
- Eve computes **C** \oplus **C'** = **(M** \oplus **K)** \oplus **(M'** \oplus **K)**

Two-Time Pad?

- What if we reuse a key K jeeeeest once?
- Alice sends $\mathbf{C} = \mathbf{E}(\mathbf{M}, \mathbf{K})$ and $\mathbf{C}' = \mathbf{E}(\mathbf{M}', \mathbf{K})$
- Eve observes $\mathbf{M} \oplus \mathbf{K}$ and $\mathbf{M}' \oplus \mathbf{K}$
 - Can she learn anything about M and/or M' ?
- Eve computes $\mathbf{C} \oplus \mathbf{C}' = (\mathbf{M} \oplus \mathbf{K}) \oplus (\mathbf{M}' \oplus \mathbf{K})$
 - $= (\mathbf{M} \oplus \mathbf{M}') \oplus (\mathbf{K} \oplus \mathbf{K})$
 - $= (\mathbf{M} \oplus \mathbf{M}') \oplus \mathbf{0}$
 - $= \mathbf{M} \oplus \mathbf{M}'$
- Now she knows which bits in \mathbf{M} match bits in \mathbf{M}'
- And if Eve already knew \mathbf{M} , now she knows \mathbf{M}' !
 - Even if not, Eve can guess \mathbf{M} and ensure that \mathbf{M}' is consistent



VENONA: Pad Reuse in the Real World

- The Soviets used one-time pads for communication from their spies in the US
 - After all, it is provably secure!
- During WWII, the Soviets started reusing key material
 - Uncertain whether it was just the cost of generating pads or what...
- VENONA was a US cryptanalysis project designed to break these messages
 - Included confirming/identifying the spies targeting the US Manhattan project
 - Project continued until 1980!
- ***Not declassified until 1995!***
 - So secret ***even President Truman wasn't informed about it.***
 - But the Soviets found out about it in 1949, but their one-time pad reuse was fixed after 1948 anyway



Modern Encryption: Block cipher

- A function $\mathbf{E} : \{0, 1\}^b \times \{0, 1\}^k \rightarrow \{0, 1\}^b$. Once we fix the key \mathbf{K} (of size k bits), we get:
- $\mathbf{EK} : \{0, 1\}^b \rightarrow \{0, 1\}^b$ denoted by $\mathbf{E}_K(\mathbf{M}) = \mathbf{E}(\mathbf{M}, \mathbf{K})$.
 - (and also $\mathbf{D}(\mathbf{C}, \mathbf{K})$, $\mathbf{E}(\mathbf{M}, \mathbf{K})$'s inverse)
- Three properties:
 - Correctness:
 - $\mathbf{E}_K(\mathbf{M})$ is a permutation (bijective function) on b -bit strings
 - Bijective \Rightarrow invertible
 - Efficiency: computable in μsec 's
 - Security:
 - For unknown \mathbf{K} , "behaves" like a random permutation
- Provides a building block for more extensive encryption

DES (Data Encryption Standard)

- Designed in late 1970s
- Block size 64 bits, key size 56 bits
- NSA influenced two facets of its design
 - Altered some subtle internal workings in a mysterious way
 - Reduced key size 64 bits \Rightarrow 56 bits
 - Made brute-forcing feasible for attacker with massive (for the time) computational resources
- Remains essentially unbroken 40 years later!
 - The NSA's tweaking hardened it against an attack "invented" a decade later
- However, modern computer speeds make it completely unsafe due to small key size

Today's Go-To Block Cipher: AES (Advanced Encryption Standard)

- 20 years old, standardized 15 years ago...
- Block size 128 bits
- Key can be 128, 192, or 256 bits
 - 128 remains quite safe; sometimes termed “AES-128”, paranoids use 256b
- As usual, includes encryptor and (closely-related) decryptor
 - How it works is beyond scope of this class...
But if you are curious: <http://www.moserware.com/2009/09/stick-figure-guide-to-advanced.html>
- Not proven secure
 - But no known flaws
 - The NSA uses it for Top Secret communication with 256b keys:
stuff they want to be secure **for 40 years including possibly unknown breakthroughs!**
 - so we assume it is a secure block cipher

AES is also effectively free...

- Computational load is remarkably low
 - Partially why it won the competition:
There were 3 really good finalists from a performance viewpoint:
Rijndael (the winner), Twofish, Serpent
One OK: RC6
One uggly: Mars
- On any given computing platform:
Rijndael was ***never*** the fastest
- But on every computing platform:
Rijndael was ***always*** the second fastest
- And now CPUs include dedicated AES support

How Hard Is It To Brute-Force 128-bit Key?

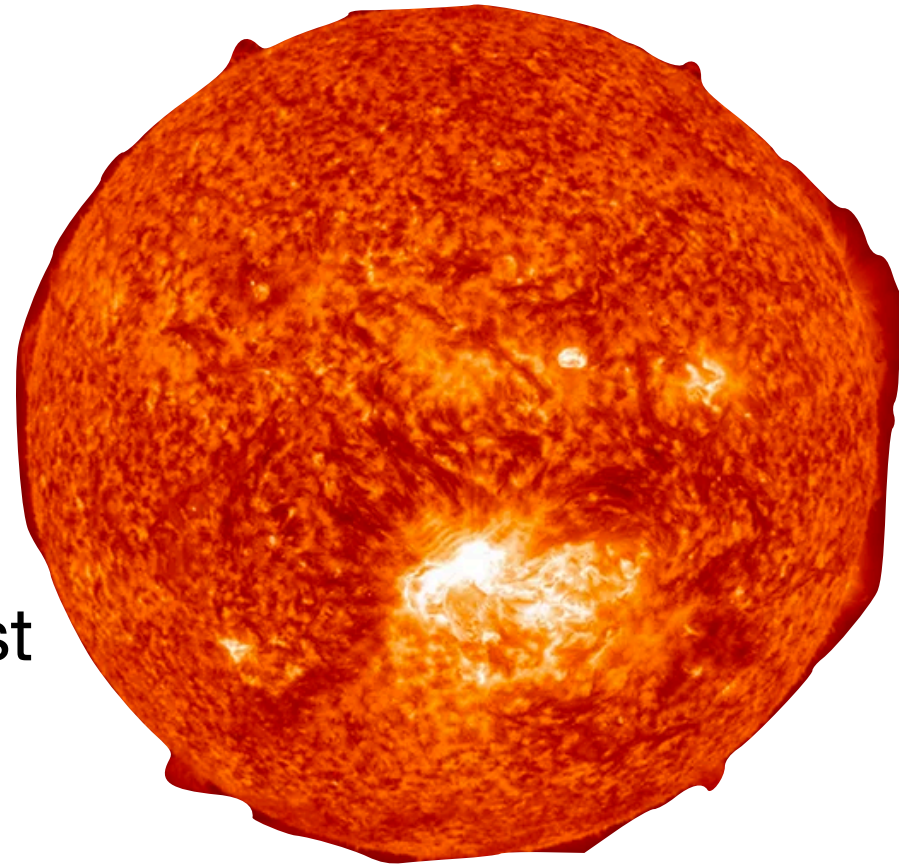
- 2^{128} possibilities – well, how many is that?
- Handy approximation: $2^{10} \approx 10^3$
- $2^{128} = 2^{10 \cdot 12.8} \approx (10^3)^{12.8} \leq (10^3)^{13} \approx 10^{39}$

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- Say we build massive hardware that can try 10^9 (1 billion) keys in 1 nanosecond (a billionth of a second)
 - So 10^{18} keys/sec
 - Thus, we'll need $\approx 10^{21}$ sec
- **How long is that?**
 - **One year $\approx 3 \times 10^7$ sec**
 - So need $\approx 3 \times 10^{13}$ years \approx **30 trillion years**

What about a 256b key in a year?

- Time to start thinking in ***astronomical*** numbers:
 - If each brute force device is 1mm^3 ...
 - We will need 10^{52} of these things...
 - 10^{43} cubic meters...
 - Or the volume of ***7×10^{15} suns!***
 - Brute force is ***not a factor*** against modern block ciphers...
IF the key is actually random!



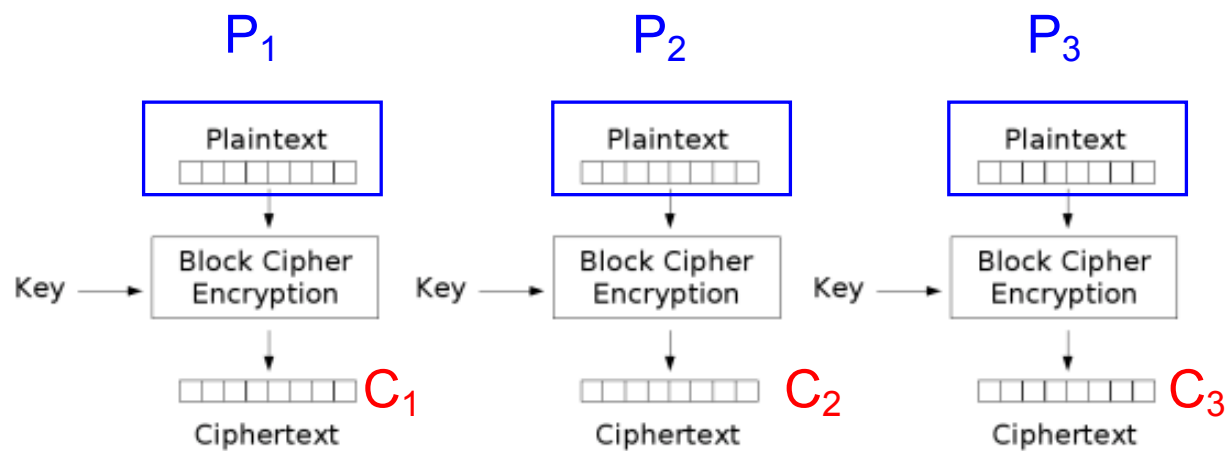
Issues When Using the Building Block

- Block ciphers can only encrypt messages of a certain size
 - If M is smaller, easy, just pad it (more later)
 - If M is larger, can repeatedly apply block cipher
 - Particular method = a “block cipher mode”
 - Tricky to get this right!
- If same data is encrypted twice, attacker knows it is the same
 - Solution: incorporate a varying, known quantity (IV = “initialization vector”)

Electronic Code Book (ECB) mode

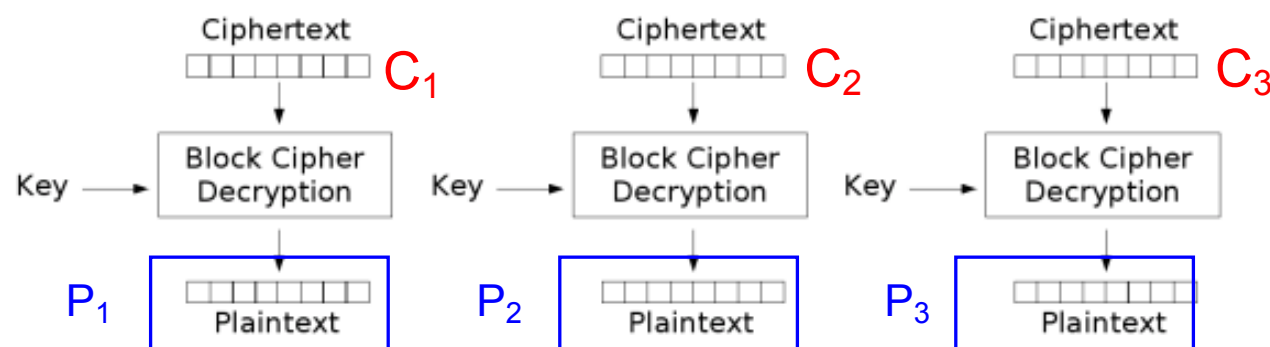
- Simplest block cipher mode
- Split message into b-bit blocks $\mathbf{P}_1, \mathbf{P}_2, \dots$
- Each block is enciphered independently, separate from the other blocks
 $\mathbf{C}_i = \mathbf{E}(\mathbf{P}_i, \mathbf{K})$
- Since key \mathbf{K} is fixed, each block is subject to the same permutation
- (As though we had a “code book” to map each possible input value to its designated output)

ECB Encryption



Electronic Codebook (ECB) mode encryption

ECB Decryption



Electronic Codebook (ECB) mode decryption

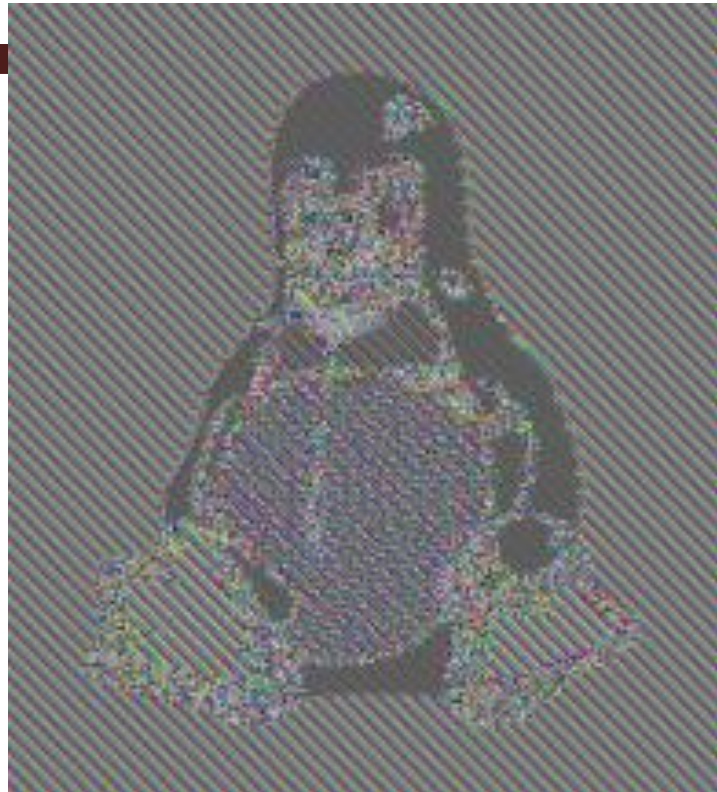
Problem: Relationships between P_i 's reflected in C_i 's

IND-CPA and ECB?

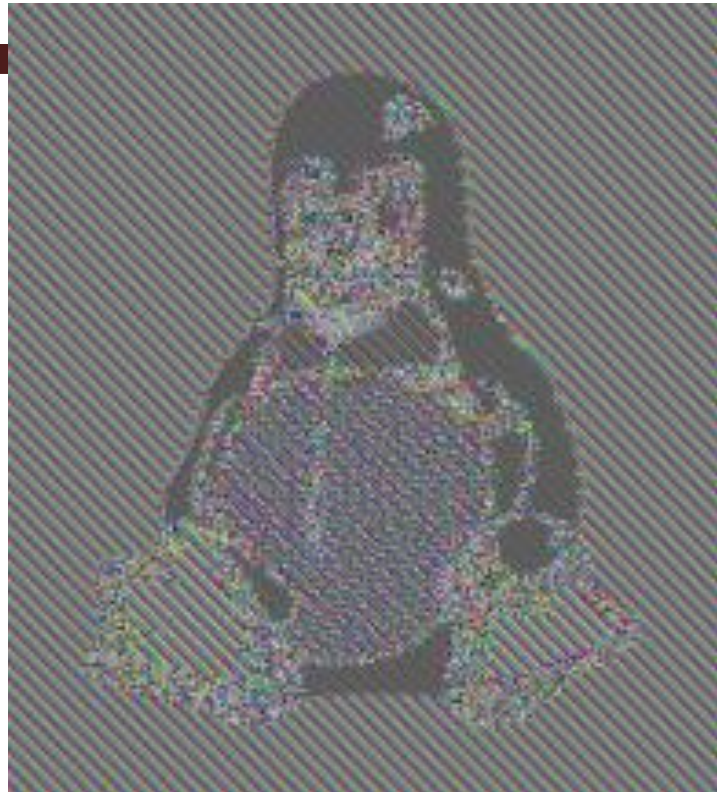
- Of course not!
- **M, M'** is 2x the block length...
 - **M** = all 0s
 - **M'** = 0s for 1 block, 1s for the 2nd block
- This has catastrophic implications in the real world...



Original image, RGB values split into a bunch of b-bit blocks



Encrypted with ECB and interpreting ciphertext directly as RGB



Later (identical) message again encrypted with ECB

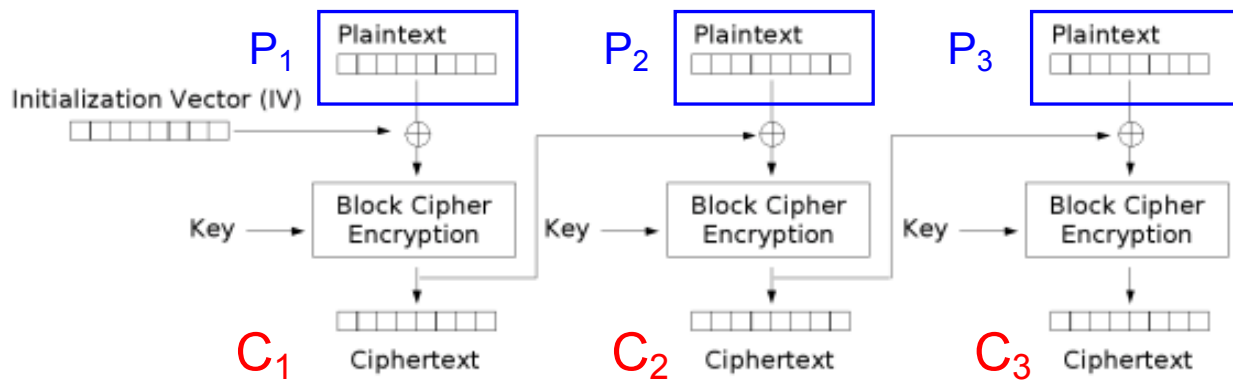
Building a Better Cipher Block Mode

- Ensure blocks incorporate more than just the plaintext to mask relationships between blocks. Done carefully, either of these works:
 - Idea #1: include elements of prior computation
 - Idea #2: include positional information
- Plus: need some initial randomness
 - Prevent encryption scheme from determinism revealing relationships between messages
 - Introduce initialization vector (IV):
 - IV is a public *nonce*, a use-once unique value: Easiest way to get one is generate it randomly
- Example: Cipher Block Chaining (CBC)

CBC Encryption

$E(\text{Plaintext}, K)$:

- If b is the block size of the block cipher, split the plaintext in blocks of size b : P_1, P_2, P_3, \dots
- Choose a random IV (do not reuse for other messages)
- Now compute:



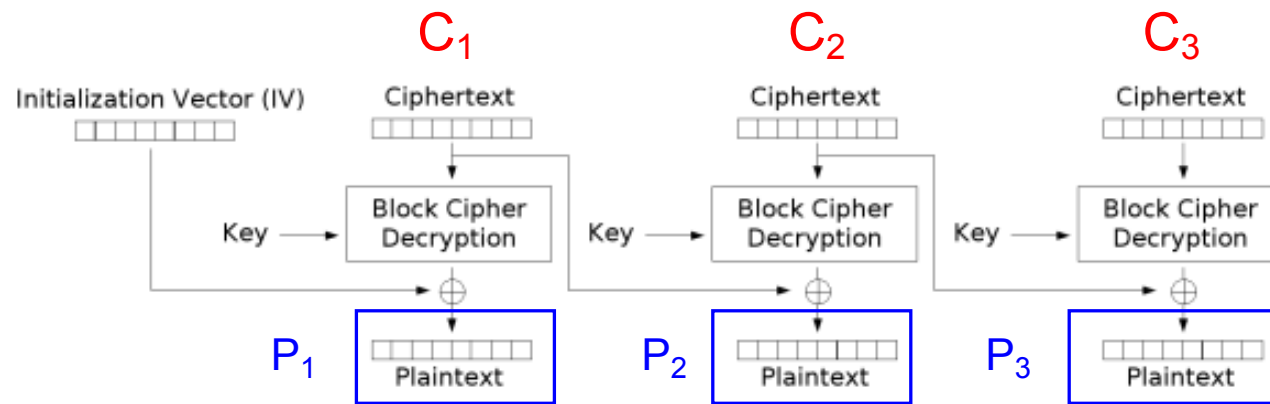
Cipher Block Chaining (CBC) mode encryption

- Final ciphertext is (IV, C_1, C_2, C_3) . This is what Eve sees.

CBC Decryption

$D(\text{Ciphertext}, K)$:

- Take **IV** out of the ciphertext
- If **b** is the block size of the block cipher, split the ciphertext in blocks of size **b**: C_1, C_2, C_3, \dots
- Now compute this:

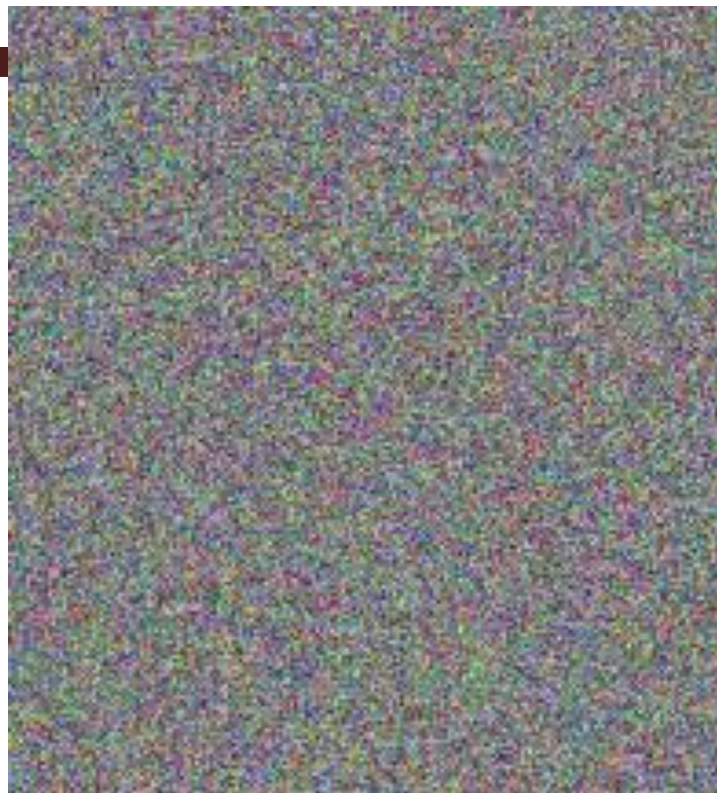


Cipher Block Chaining (CBC) mode decryption

- Output the plaintext as the concatenation of P_1, P_2, P_3, \dots



Original image, RGB values split into a bunch of b-bit blocks



Encrypted with CBC: Should be indistinguishable from random noise

CBC Mode...

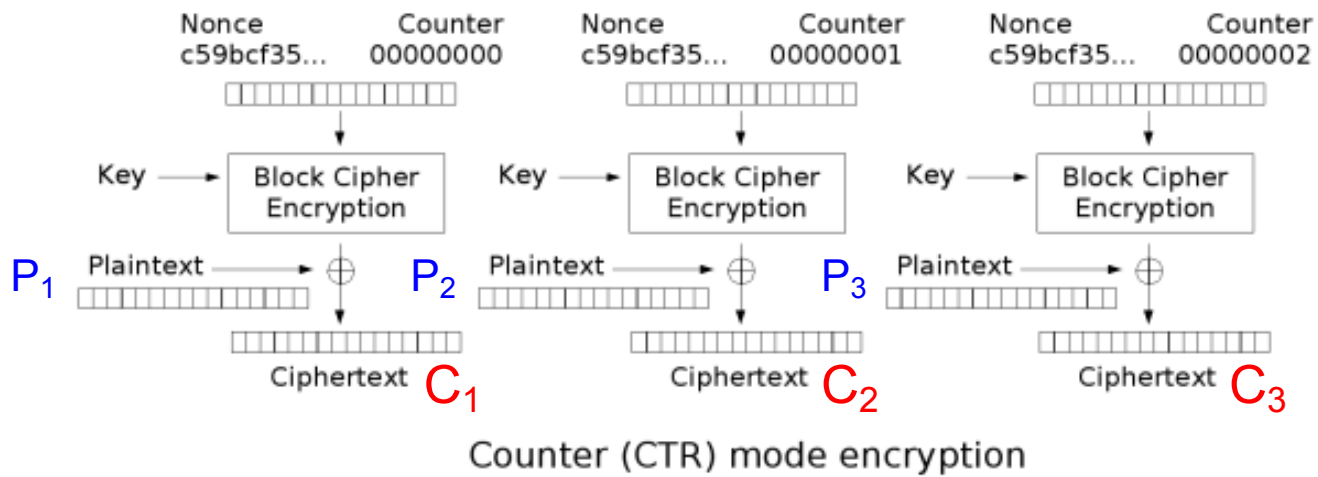
- Widely used
- Issue: sequential encryption, can't parallelize encryption
 - **Must** finish encrypting block b before starting $b+1$
 - But you can parallelize decryption
- Parallelizable alternative: CTR (Counter) mode
- Security: If no reuse of nonce, both are provably secure (IND-CPA) assuming the underlying block cipher is secure

And padding...

- What happens if $\text{length}(\mathbf{M}) \% \text{BlockSize} \neq 0$?
 - Need to “Pad” to add bits
- Two main options:
 - Send the length at the start of the message...
 - And then who cares what you add on at the end
 - Use a padding scheme that you can add on to the end...
- EG, PKCS#7:
 - If $M \% \text{BlockSize} == \text{Blocksize} - 1$: Pad with 0x01
 - If $M \% \text{BlockSize} == \text{Blocksize} - 2$: Pad with 0x02 0x02
 -
 - If $M \% \text{BlockSize} == 0$: Pad a **full block** with the block size (so for AES 0x20 0x20...)

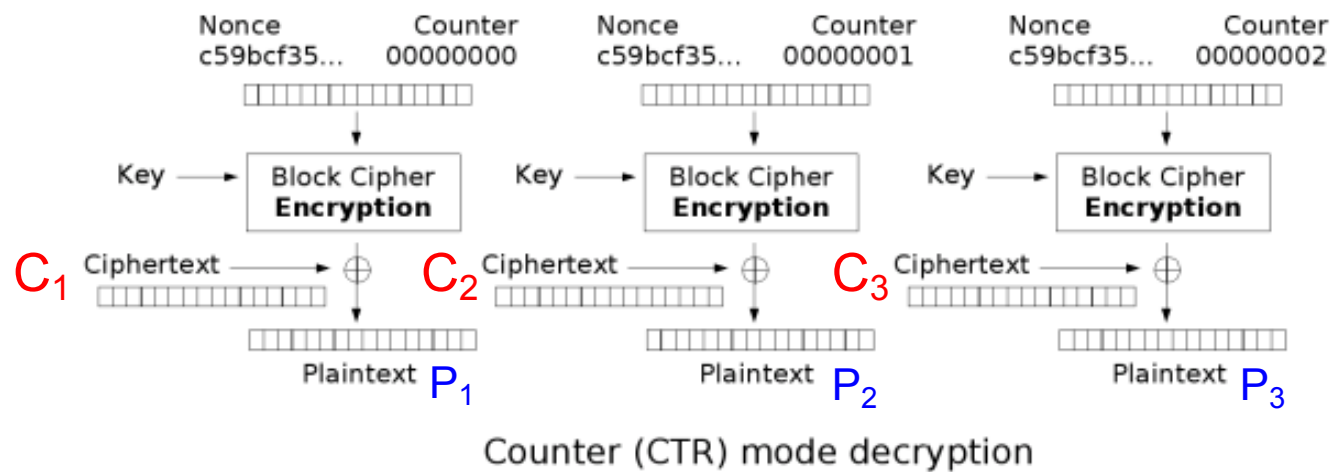
CTR Mode Encryption

(Nonce = Same as IV)



Important that **nonce/IV** does not repeat across different encryptions.
Choose at random!

Counter Mode Decryption



Note, CTR decryption uses block cipher's *encryption*, **not** decryption

Thoughts on CTR mode...

- CTR mode is actually a stream cipher (more on those later):
 - You no longer need to worry about padding which is nice
- CTR mode is fully parallelizable for encryption as well as decryption

NEVER EVER EVER use CTR Mode! (Well, if you are paranoid...)

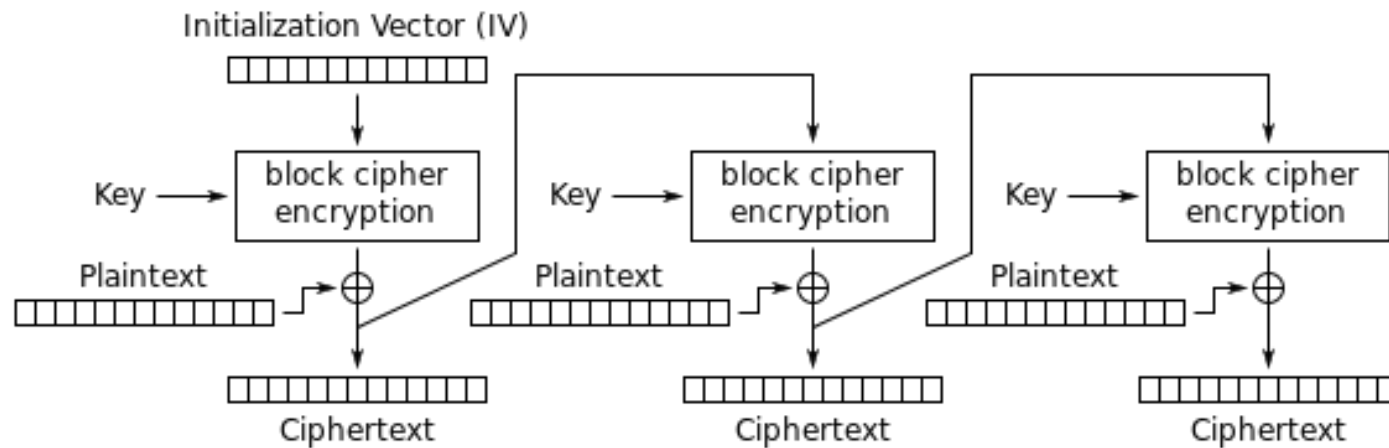
- What happens if you reuse the IV in CBC...
 - Its bad but not catastrophic:
you fail IND-CPA but the damage may be tolerable:
 - $M = \{A, A, B\}$
 $M' = \{A, B, B\}$
Adversary can see that the first part of M and M' are the same, but not the later part
- What happens if you reuse the IV in CTR mode?
 - It is **exactly** like reusing a one-time pad!
- An example of a system which fails badly...
 - CTR mode is **theoretically** as secure as CBC when used properly...
 - But when it is misused it fails catastrophically:
Personal bias: I believe we need systems that are still robust **when implemented incorrectly**



What To Use Then?

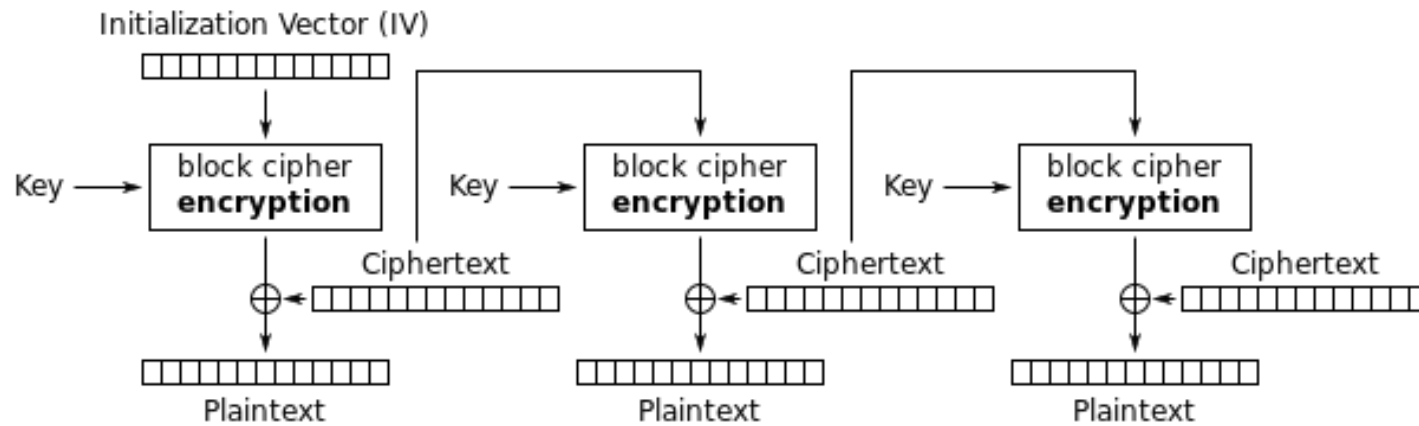
- What if you want a cipher mode where you don't need to pad (like CTR mode)?
 - But you want the robust to screwup properties of CBC mode?
- Idea: lets do it CTR-like (xor plaintext with block cipher output), but...
- Instead of the next block input being an incremented counter...
have the next block be the previous ciphertext
- Still lacks integrity however, we'll fix that next time...

CFB Encryption



Cipher Feedback (CFB) mode encryption

CFB Decryption



Cipher Feedback (CFB) mode decryption

CFB doesn't need to pad...

- Since the encryption is XORed with the plaintext...
 - You can end on a "short" block without a problem
 - So more convenient than CBC mode
- But similar security properties as CBC mode
 - Sequential encryption, parallel decryption
 - Same error propagation effects
 - Effectively the same for IND-CPA
- But a bit worse if you reuse the IV